

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Andrysek et al.

Attorney Docket No.: UD&LP049

Application No.: 09/642,242

Examiner: Lukton, D.

RECEIVED

Filed: August 17, 2000

Group: 1653

AUG 0 1 2001

Title: PHARMACEUTICAL COMPOSITIONS FOR ORAL AND TOPICAL ADMINISTRATION

TECH CENTER 1600/2900

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on July 26,

2001.

Signed:

Laura M. Dear

### **AMENDMENT TRANSMITTAL**

Commissioner for Patents Box Non-Fee Amendment Washington, DC 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

The fee has been calculated as shown below.

	Claims After Amendment		Highest Previously Paid For	Preser Extra	nt	Small Entity Rate Fee	Large Entity Rate Fee
Total Claims	32	MINUS	32	0		$\mathbf{x} \; 9 \; = 0$	x 18 = 0
Independent Claims	3	MINUS	3	0		x 40 = 0	x 80 = 0
Multiple Dependent Claim Present and Fee Not Previously Paid						\$135.00	\$270.00
					Total	\$0	\$0

Applicant(s) believe that no (additional) Extension of Time is required; however, if it is determined that such an extension is required, Applicant(s) hereby petition that such an extension be granted and authorize the Commissioner to charge the required fees for an Extension of Time under 37 CFR 1.136 to Deposit Account No. 500388.

Please charge the required fees, or any additional fees required to facilitate filing the enclosed response, to Deposit Account No. 500388 (Order No. <u>UD&LP049</u>).

Respectfully submitted, BEYER WEAVER & THOMAS, LLP

Lauren L. Stevens Reg. No. 36,691

P.O. Box 778 Berkeley, CA 94704-0778



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Andrysek et al.

Attorney Docket No.: UD&LP049

Application No.: 09/642,242

Examiner: Lukton, D.

Filed: August 17, 2000

Group: 1653

RECEIVED

PATEN

AUG 0 1 2001

Title: PHARMACEUTICAL COMPOSITIONS FOR ORAL AND TOPICAL ADMINISTRATION

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 2021 1 on Auly 26, 2001.

Signed:

#### AMENDMENT A

Commissioner for Patents Box Non-Fee Amendment Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated June 28, 2001 please amend the above-identified patent application as follows:

#### In the Claims:

Please replace Claims 2, 4, and 30 with the following amended claims.

- 2. (Amended) A pharmaceutical formulation for oral or topical administration including
  - a) an effective amount of one or more hydrophobic active ingredients;
- b) 5 to 50% of one or more compounds selcted from polyglycerol esters of fatty acids of formula (1)

 $CH_2OR$ -CHOR- $CH_2O$ - $(CH_2CHOR$ - $CH_2O$ - $)_NCH_2$ -CHOR- $CH_2OR$  (1)